



International Business Machines Corporation

Endicott, New York 13760-5553

607/755-0123

Direct Dialing No.: (607) 429-5280

February 27, 2006

Attn: Latrice Sims
US Patent and Trademark Office
Office of Finance
Alexandria, VA 22313

Dear Ms. Sims:

Our Deposit Account 09-0468 (IBM Yorktown) was incorrectly charged for the following item:


1. 10/065802 \$1590.00- Fee Code 1254- The Amendment was filed in the Statutory period and no Extension of Time fee should of been charged.

Please find attached the first 2 pages of the Office Action and the first page of the faxed Amendment. I have also included a copy of the July 2005 Deposit Account statement and have marked where we were charged for the Extension of Time.

Please credit Deposit Account 09-0468 for \$1590.00.

Thank you for your assistance. Please contact me if you need anything further.

Sincerely,


Jennifer L. Smith

/jls
Encl.

FEB 27 '06 10:30 FR IBM ENDICOTT IPLAW
ONDEMANDIPLAW

Fax:9149454042

6074294119 TO 915712736500

P.03/07

Feb 23 '06 14:00

P.05



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1400
Alexandria, Virginia 22313-1400
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/065,802	11/20/2002	Marka Trapp	YOR920020199US1	6567

877 7590 12/14/2004
IBM CORPORATION, T.J. WATSON RESEARCH CENTER
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

EXAMINER
BAUM, RONALD

ART UNIT	PAPER NUMBER
2136	

DATE MAILED: 12/14/2004

Due 3-14-05 *55P 6 months*
Due 6/14/05

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

BEST AVAILABLE COPY

Office Action Summary

Application No.

10/065,802

Applicant(s)

TRAPP ET AL.

Examiner

Ronald Baum

Art Unit

2130

- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 6 MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
 - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
 - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
 - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11/23/2004.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-68 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-68 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Petition Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 2.10
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

U.S. Patent and Trademark Office
PTOL-326 (Rev. 1-04)

Office Action Summary

Part of Paper No./Mail Date 13

BEST AVAILABLE COPY

FEB 27 '06 10:31 FR IBM ENDICOTT IPLAW 6074294119 TO 915712736500 P.05/07

JUN 14 '05 11:08 FR IBM

9149453281 TO 917038729306 P.01

Appl. No. 10/085,802
Response to the outstanding 6 month SSP Office Action Dated Dec. 14, 2004

RECEIVED
CENTRAL FAX CENTER

JUN 14 2005

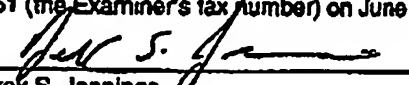
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Applicants:	Date: June 14, 2005
Trapp et al.	Group Art Unit: 2138
Serial No.: 10/085,802	Examiner: Ronald Baum
Filed: November 20, 2002	Docket No.: YOR920020159US1
For: METHOD AND APPARATUS FOR SECURE PROCESSING OF SENSITIVE DATA	

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (23 pages, listing of claims - response) is being facsimile transmitted under Rule 37 CFR 1.6(d) to the U.S. Patent and Trademark Office to (703) 872 - 9306 (the official fax number) and to (571) 273 - 3861 (the Examiner's fax number) on June 14, 2005.


Derek S. Jennings
Registered Patent Agent / Senior Patent Agent
Reg. No. 41,473
Fax: 914-945-3281

RESPONSE TO THE OUTSTANDING OFFICE ACTION

Sir:

Please find a current listing of claims for the above-identified application as follows:

Current listing of claims are reflected in the listing of claims, which begins on page 2 of this paper.

Page 1 of 23

PAGE 1/23 * RCVD AT 6/14/2005 10:43:27 AM [Eastern Daylight Time] * SVR:USPTO-EF-XRF-13 * DNS:172.30.0 * CSID:9149453281 * DURATION (mm-ss):07-04

PAGE 5/7 * RCVD AT 2/27/2006 10:09:21 AM [Eastern Standard Time] * SVR:USPTO-EF-XRF-3/21 * DNS:2736500 * CSID:6074294119 * DURATION (mm-ss):03-28

2001 AVAILABLE COPY

Deposit Account Statement

Page 1 of 3


**United States
Patent and
Trademark Office**

USPTO
Home
Page
Finance
Online
Shopping
Page

Deposit Account Statement

Requested Statement Month:

July 2005

Deposit Account Number:

090468

Name:

IBM CORPORATION

Attention:

IPLAW DEPT. 39-223

Address:

P.O. BOX 218

City:

YORKTOWN HEIGHTS

State:

NY

Zip:

10598

Country:

UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	20	09589308	YO998-267X	1253	\$1,020.00	\$13,016.89
07/01	93	11168911	JP920040053US1	1011	\$300.00	\$12,716.89
07/01	94	11168911	JP920040053US1	1111	\$500.00	\$12,216.89
07/01	95	11168911	JP920040053US1	1311	\$200.00	\$12,016.89
07/01	519	10988215		8021	\$40.00	\$11,976.89
07/01	521	10988484		8021	\$40.00	\$11,936.89
07/01	524	10988220		8021	\$40.00	\$11,896.89
07/01	545	11117749	IL920050015US1	8021	\$40.00	\$11,856.89
07/01	809	10988219		8021	\$40.00	\$11,816.89
07/05	1	10017926	JP920000300U	1202	\$50.00	\$11,766.89
07/05	4	11145866	IL920040006US1	1202	-\$250.00	\$12,016.89
07/05	5	11145866	IL920040006US1	1202	\$200.00	\$11,816.89
07/05	48	11170596	CN920040002US1	1011	\$300.00	\$11,516.89
07/05	49	11170596	CN920040002US1	1111	\$500.00	\$11,016.89
07/05	50	11170596	CN920040002US1	1311	\$200.00	\$10,816.89
07/05	51	11170596	CN920040002US1	1202	\$350.00	\$10,466.89
07/05	1246	11087466	IL920040061US1	8021	\$40.00	\$10,426.89
07/06	2	11147726	JP920040065US2	1081	\$500.00	\$9,926.89
07/06	34	10145661	YOR919990088	1453	\$1,500.00	\$8,426.89
07/06	55	PAYMENT		9203	-\$25,000.00	\$33,426.89
07/06	75	60695378	YOR920050362US1	1005	\$200.00	\$33,226.89
07/07	5	11173062	JP920040106US2	1011	\$300.00	\$32,926.89
07/07	6	11173062	JP920040106US2	1111	\$500.00	\$32,426.89
07/07	7	11173062	JP920040106US2	1311	\$200.00	\$32,226.89
07/07	8	11173062	JP920040106US2	1202	\$500.00	\$31,726.89
07/07	46	11093573	IL920030061US1	1051	\$130.00	\$31,596.89
07/07	51	11096548	JP920040022US1	1251	\$120.00	\$31,476.89
07/07	52	11096548	JP920040022US1	1051	\$130.00	\$31,346.89
07/08	1	11144505	IL920040007US1	1051	\$130.00	\$31,216.89
07/08	20	09202365	SZ997-003	1501	\$1,400.00	\$29,816.89

BEST AVAILABLE COPY

Deposit Account Statement

Page 2 of 3

07/08 104	11157035	YOR920050250US1	1011	\$300.00	\$29,516.89
07/08 105	11157035	YOR920050250US1	1111	\$500.00	\$29,016.89
07/08 106	11157035	YOR920050250US1	1311	\$200.00	\$28,816.89
07/08 107	11157035	YOR920050250US1	1201	\$200.00	\$28,616.89
07/08 273	11174997	IL920050003US1	1011	\$300.00	\$28,316.89
07/08 274	11174997	IL920050003US1	1111	\$500.00	\$27,816.89
07/08 275	11174997	IL920050003US1	1311	\$200.00	\$27,616.89
07/11 8	11154752	YOR920050249US1	1011	\$300.00	\$27,316.89
07/11 9	11154752	YOR920050249US1	1111	\$500.00	\$26,816.89
07/11 10	11154752	YOR920050249US1	1311	\$200.00	\$26,616.89
07/11 11	11154752	YOR920050249US1	1201	\$200.00	\$26,416.89
07/11 18	10926659	IL920030042US1	1051	\$130.00	\$26,286.89
07/12 1	08055485		1811	\$100.00	\$26,186.89
07/12 2	10035724	FR920000068U	1251	\$120.00	\$26,066.89
07/12 16	11176154	IL920050019US1	1011	\$300.00	\$25,766.89
07/12 17	11176154	IL920050019US1	1111	\$500.00	\$25,266.89
07/12 18	11176154	IL920050019US1	1311	\$200.00	\$25,066.89
07/12 19	11176154	IL920050019US1	1201	\$200.00	\$24,866.89
07/12 20	11176154	IL920050019US1	1202	\$100.00	\$24,766.89
07/12 108	11176503	IL920050032US1	1011	\$300.00	\$24,466.89
07/12 109	11176503	IL920050032US1	1111	\$500.00	\$23,966.89
07/12 110	11176503	IL920050032US1	1311	\$200.00	\$23,766.89
07/12 111	11176503	IL920050032US1	1201	\$200.00	\$23,566.89
07/12 119	11177127	IL920050017US1	1011	\$300.00	\$23,266.89
07/12 120	11177127	IL920050017US1	1111	\$500.00	\$22,766.89
07/12 121	11177127	IL920050017US1	1311	\$200.00	\$22,566.89
07/13 1	09251988	YO999-088	1202	\$400.00	\$22,166.89
07/13 3	10085802	YOR920020159	1254	\$1,590.00	\$20,576.89
07/13 197	10088351	CN920030023US1	8021	\$40.00	\$20,536.89
07/14 115	11178723	IL92050047US1	1011	\$300.00	\$20,236.89
07/14 116	11178723	IL92050047US1	1111	\$500.00	\$19,736.89
07/14 117	11178723	IL92050047US1	1311	\$200.00	\$19,536.89
07/14 118	11178723	IL92050047US1	1201	\$200.00	\$19,336.89
07/14 302	11093572	IL920050012US1	8021	\$40.00	\$19,296.89
07/14 314	11125523	IL920050023US1	8021	\$40.00	\$19,256.89
07/14 491	10425135	CH920020017US1	1501	\$1,400.00	\$17,856.89
07/14 492	10425135	CH920020017US1	1504	\$300.00	\$17,556.89
07/14 493	10729225		1501	\$1,400.00	\$16,156.89
07/14 494	10729225		1504	\$300.00	\$15,856.89
07/14 495	10013881	JP920000378US1	1501	\$1,400.00	\$14,456.89
07/14 496	10013881	JP920000379US1	1504	\$300.00	\$14,156.89
07/15 1	10519327	CH920020023US1	1632	-\$500.00	\$14,656.89
07/15 2	10519327	CH920020023US1	1642	\$400.00	\$14,256.89
07/15 54	11140189	CH920040031US1	1051	\$130.00	\$14,126.89
07/15 308	11180098	IL920050020US1	1011	\$300.00	\$13,826.89
07/15 309	11180098	IL920050020US1	1111	\$500.00	\$13,326.89
07/15 310	11180098	IL920050020US1	1311	\$200.00	\$13,126.89
07/18 13	10874421	CH920030063US1	1051	\$130.00	\$12,996.89
07/18 20	11181324	IL920050030US1	1011	\$300.00	\$12,696.89
07/18 21	11181324	IL920050030US1	1111	\$500.00	\$12,196.89
07/18 22	11181324	IL920050030US1	1311	\$200.00	\$11,996.89

BEST AVAILABLE COPY

ATTENTION ATTENTION ATTENTION

Method of Refund:

☐ ACH/EFT

☐ Credit Card

☒ Deposit Account # 09-0468

☐ Treasury Check

Patent/TM/App/Serial # 10,065,802

Program Area TeekCenter 2136

Date Processed 03-07-06

ATTENTION ATTENTION ATTENTION

BEST AVAILABLE COPY

Facsimile Transmittal

IBM**ENDICOTT IP LAW**

Intellectual Property Law
1701 North Street, IQ0A/40-3
Endicott, New York 13760
Fax Tie Line: 8-620-4119
Direct Fax: (607) 429-4119
Direct Phone: (607) 429-5281

Date: 2-27-06Total Pages: 7 (Including this one)

☐ IBM CONFIDENTIAL
☐ PREPARED BY AN IBM ATTORNEY
☐ PRIVILEGED REVIEW REQUIRED
☐ PLEASE CONFIRM RECEIPT

IMPORTANT NOTICE TO RECIPIENT

This communication is intended ONLY for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If you are neither the intended recipient, nor the employee or agent responsible for delivering the communication to the intended recipient, you are hereby notified that any disclosure, dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, PLEASE NOTIFY US IMMEDIATELY by telephone and return the original communication to us at the address above via the U.S. Postal Service.

To: Latrice Sims
Fax Number: 571-273-6500

From: Jennifer Smith
Direct Phone: 607-429-5280

Subject: INCORRECT CHARGES

Our File: _____

BEST AVAILABLE COPY

Appl. No. 10/065,802

Response to the outstanding 6 month SSP Office Action Dated Dec. 14, 2004

RECEIVED
CENTRAL FAX CENTER

JUN 14 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Applicants:

Date: June 14, 2005

Trapp et al.

Group Art Unit: 2136

Serial No.: 10/065,802

Examiner: Ronald Baum

Filed: November 20, 2002

Docket No.: YOR920020159US1

For: METHOD AND APPARATUS FOR SECURE PROCESSING OF
SENSITIVE DATAHonorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (23 pages, listing of claims - response) is being facsimile transmitted under Rule 37 CFR 1.6(d) to the U.S. Patent and Trademark Office to (703) 872 - 9306 (the official fax number) and to (571) 273 - 3861 (the Examiner's fax number) on June 14, 2005.


Derek S. Jennings
Registered Patent Agent / Senior Patent AgentReg. No. 41,473
Fax: 914-946-328107/13/2005 EWARREN 00000003-090468 10065802
01 FC:1254 1590.00 DA

RESPONSE TO THE OUTSTANDING OFFICE ACTION

Sir:

Please find a current listing of claims for the above-identified application as follows:

Current listing of claims are reflected in the listing of claims, which begins on page 2 of this paper.

Page 1 of 23

PAGE 1/23 RCVD AT 6/14/2005 10:43:27 AM (Eastern Daylight Time) SVC:USPTO-EFXXF-1/3 DUS:8729306 CSID:9149453281 DURATION (hrs-ss):07-04

Adjustment date: 03/16/2006
07/13/2005 EWARREN 00000003-090468
01 FC:1254 1590.00 CR
10065802
SFELEKE1